

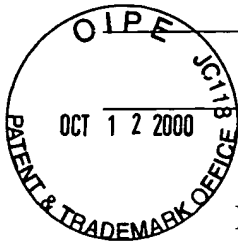
I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on ~~September~~ *October* 9, 2000.

Michael H. Baniak
Name of Applicant, Assignee, or Registered Representative

Signature

Date of Signature

[Signature]
10/9/00



#2
PATENT
Case No. 3212/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kerimcan Engin and Robert C. Osborne

Serial No. 09/589,253

Filed: June 7, 2000

Title: METHOD AND SYSTEM FOR
EXCHANGE OF FINANCIAL
INVESTMENT ADVICE

Examiner:

Group Art Unit: 2761

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants bring to the attention of the Examiner the documents listed on the enclosed form PTO-1449. The documents listed are also enclosed. Applicants request that these documents be reviewed, considered and made of record.

Respectfully submitted,

October 9
~~September~~, 2000

[Signature]

Michael H. Baniak
Registration No. 30,608
Attorney for Applicant

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